

**P-MZ-A00-005 – MOZAMBIQUE - RICE VALUE CHAIN AND CLIMATE RESILIENT
PROJECT
ESMP MANDATORILY ANNEXED TO THE FINANCING AGREEMENT (FA)**

General considerations

1. The Ministry of Agriculture, Environment and Fisheries (MAAP) is planning to implement the Rice Value Chain and Climate Resilient Project. The Bank has agreed to provide financing, implementation support, and monitoring for the Project.
2. MAAP will implement measures and actions of this Environmental and Social Management Plan¹ (**ESMP**) so that the Project meets all the requirements of the Bank Environmental and Social Operational Safeguards (**OS**) and the National policy and legal requirements.
3. Where the ESMP refers to specific plans, whether they have already been prepared or are to be developed, the ESMP requires compliance with all mandatory provisions of such plans.
4. The table below summarizes the required material measures and actions, the basis of the requirement, the timing of the measure or action, and the criteria for determining whether the measure or action has been successfully achieved. MAAP is responsible for compliance with all ESMP requirements even when an entity other than the Project Implementation Unit (PIU) implements specific measures and actions.
5. MAAP will monitor and report to the Bank the implementation of the material measures and actions set out in this ESMP as required by the ESMP and the conditions of the legal agreement. The Bank will monitor and assess the progress and completion of the measures and actions throughout the implementation of the Project.
6. As agreed by the Bank and MAAP, this ESMP may be revised from time to time during Project implementation to reflect adaptive risk management of project changes and unforeseen circumstances or in response to an assessment of project performance conducted under the ESMP itself. In such circumstances, MAAP will propose and agree to changes with the Bank and then update the ESMP to reflect such changes.

¹ The ESMP refers to all the E&S risks/impacts and measures, as approved in all the disclosed E&S documents and agreed between the Bank and Borrower. For projects involving multiple subprojects, that are identified, prepared and implemented during the course of the project, the Borrower will need to demonstrate to the Bank, before the project appraisal, through the preparation of E&S documentation of a sample of subprojects, that it has the capacity to carry out appropriate environmental and social assessment of subprojects, and prepare and implement such subprojects in accordance with the national laws and the OSs. (*Section III.2.3 of Bank's ESP and section D of OSI*)

Material Actions² to Manage the Project's E&S Risks and Impacts		Basis for Requirement	Key Performance Indicator	Indicative Timing/Deadline
1	Periodic E&S implementation report to the Bank	Bank's ESP and OS1	- Reports submitted on time, in good standard meeting Bank requirements	Monthly report
2	Recruitment of E and S specialists as part of the Project implementation unit	Disclosed ESIA, OS1	- Seasoned E&S specialists in the PIU	By Project effectiveness date
3	Establishment of the Project Grievance Redress Mechanism (GRM) and disclosure to the Public	OS1, OS10, and National requirements	<ul style="list-style-type: none"> - Project and Sub-project GRM public disclosure including GBV/SEAH measures - . The external communication mechanism procedure is implemented and addresses the public queries and concerns related to the investments; The outcome of the - Grievance Mechanism, including number of all - grievances received, - resolved and - Outstanding, reflecting in monitoring reports. 	By project effectiveness date; Available throughout project implementation
4	Payment of compensation and reinstallation of affected people	SO10	- N/A	N/A
5	Incorporation of site-specific E&S measures in the request for proposals	SO1 & national requirements	<ul style="list-style-type: none"> - Site-specific E&S measures included in the contracts; - Request for proposal is embedded with site-specific E&S measures; - Submitted proposals reflects site-specific E&S measure (as part of assessment criteria) 	At the beginning of the tender process and throughout the procurement process
6	Submission of high-risk activity's Contractor ESMP (C-ESMP) to Bank clearance	Bank's ESP and OS1	- High-risk activity's Contractor identified, C-ESMP submitted to bank clearance	Before commencement of construction or any physical works
	Establishment of the Contractor's Grievance	OS1, OS2, SO10, and	- Contractor's Grievance Mechanism	When related projects

² Please add any relevant key actions and/or indicate "Not applicable" in the third column ("Basis for requirement") for actions that are not applicable to the project.

Material Actions² to Manage the Project's E&S Risks and Impacts		Basis for Requirement	Key Performance Indicator	Indicative Timing/Deadline
7	Mechanism (GM) and information on workers	Bank's Disclosure and Access to Information Policy	(GM) established. - Evidence of publicity for workers.	are initiated. Before project effectiveness date. Evidence to be reviewed during annual Monitoring review.
8	Obtaining nationally required licenses before commencement of subject activities (excavations, tree-cutting, working at height, working in confined spaces, etc.)	OS1, OS2, and national labor laws	- Presentation permits issued by the relevant authority submission to the Bank clearance	Prior to commencement of activities requiring permits
9	Preparation, approval, and disclosure of specific E&S documents during Project implementation, including prior review of Category 1 terms of reference by the Bank	Bank's ESP, OS1, and national requirements	- Specific E&S documents prepared, approved, and disclosed	Prior to undertaking activities requiring the concerned E&S documents
10	Engagement with concerned stakeholders of each relevant specific E&S activity	OS1, OS10, Bank's Disclosure and Access to Information Policy	- Number of stakeholders engaged/number of stakeholder meeting held - Stakeholder Meeting minutes	Before the commencement of all activities that necessitate stakeholder engagement and throughout the life of the project
11	Establishment of Emergency Preparedness and Response mechanism	OS1 & OS4, national legislation on contingencies et	- Evidence that an EPR mechanism is in place at investee project level	before project effectiveness date
12	Appropriate and timely handling of complaints/grievances	Bank's ESP and OS1	- 80% of grievances resolved in stipulated time required by the GRM	Monthly or as required by the GRM (whichever comes first)
13	Notification to riparian or alert to downstream exposed peoples	Bank's ESP and OS1, applicable International Treaty/Convention ratified	- Informational notes, informational reports prior to use or interruption of downstream water	20 days Before commencement of the works
14	Capacity building of key project implementers	OS1	- Capacity building Plan for the project - 80% of adherence to the capacity building plan (Number of capacity building activities of project implementers undertaken with	By project effectiveness date and incorporated in the program curriculum of founders on

Material Actions² to Manage the Project's E&S Risks and Impacts		Basis for Requirement	Key Performance Indicator	Indicative Timing/Deadline
			- evidence submitted to the Bank in the periodic reports and or as may be requested by the Bank	accelerators and throughout the life of the project as may be required
15	Implementation of ESMS/ESAP ³	OS1 and OS9, national requirements	- N/A	N/A
15.1	Approval of any required E&S management procedure	OS1 and OS9, national requirements	- N/A	N/A
15.2	Establishment of the E&S unit	OS1 and OS9, national requirements	- N/A	N/A
15.3	Capacity Building of the E&S Unit	OS1 and OS9, national requirements	- N/A	N/A
15.4	Processing the Value Chain E&S due diligence	OS1 and OS9, national requirements	- N/A	N/A
16	Suspending works in the event of EOHS risk or incident, immediately notify the Bank, and resume works only upon no objection of the Bank.	Bank's ESP	- Evidence of IP's - suspension of works - Time taken to notify the bank about the accident	Immediately and no later than 72 hours after the occurrence
17	Prepare the root-cause analysis (RCA) of any fatal EOHS incident and implement the Corrective Action Plan (CAP).	Bank's ESP and OS1	- Investigation report prepared and approved by the Bank in 5 days RCA prepared - CAP implemented - Approved RCA Report	RCA Report available in 5 days and CAP implemented 10 days
18	Disclosure of Project's E&S reports to the public	OS1, OS10, Bank's Disclosure and Access to Information Policy	- Evidence of disclosure - E&S reports and links to where it was disclosed	Prior to commencement of activities the E&S report has been prepared, considering the national legislation requirements

³ Applies to non-sovereign operations and public sector projects implemented by permanent autonomous Agencies/Institutions.