



REPUBLIC OF MOZAMBIQUE
MINISTRY OF AGRICULTURE AND RURAL DEVELOPMENT
NATIONAL FUND FOR SUSTAINABLE DEVELOPMENT

**Northern Crisis Recovery Project (NCRP) -
P176157**

Draft

**ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)**

January 2021

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Mozambique (RoM) is planning to implement the Mozambique Northern Crisis Recovery Project (NCRP) for which it has requested support from the World Bank. The project will be coordinated by the Agency for Integrated Development of the North (ADIN), under the leadership of the Ministry of Agriculture and Rural Development (MADER) and National Found for sustainable Development (FNDS) as fiduciary agency, with involvement of the following ministries and institutions: Ministry of Public Works, Housing and Water Resources (MOPHRH); Ministry of the Sea, Inland Waters and Fishers (MIMAIP); Ministry of Land and Environment (MTA); Ministry of Health (MISAU); Ministry of National Defence (MDN); Ministry of Interior (MINT); Ministry of Gender, Child and Social Action (MGCAS); State Secretariat for Youth (SEJE) and Employment and National Institute of Disaster Management (INGD).
2. The RoM will implement material measures and actions so that the project is implemented in accordance with the Environmental and Social Framework (**ESF**) of the World Bank. This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for completing them.
3. RoM will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as Environmental and Social Management Plans (ESMP), Security Risk Assessment and Management Plan and Stakeholder Engagement Plan (SEP), and the timelines specified in those E&S documents.
4. The RoM is responsible for compliance with all requirements of the ESCP although implementation of specific measures and actions will be conducted by the Ministry, agency or unit referenced to in table 1.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by RoM as required under the ESCP and the conditions of the grant agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed between the Bank and RoM, this ESCP may be revised from time to time during project implementation, to reflect adaptive management of project implementation changes and unforeseen circumstances or in response to assessment of project implementation performance conducted under the ESCP itself. In such circumstances, MADER will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and MADER. The MADER will promptly disclose the updated ESCP.
7. Where changes due to unforeseen circumstances, or project performance result in changes to the risks and impacts during implementation, RoM shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

Table 1. Material Measures and actions

Material Measures and Actions		Timeframe	Responsible institution
MONITORING AND REPORT			
A	<p>REGULAR REPORTS</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the project, including but not limited to the implementation of the ESCP, status of preparation of E&S documents required under the ESCP and implementation of activities under the Stakeholder Engagement Plan including project associated grievance mechanism(s).</p>	<p><i>Quarterly throughout Project implementation.</i></p>	ADIN & FNDS /MADER
B	<p>INCIDENTS AND ACCIDENTS NOTIFICATION:</p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it. Subsequently, as per the Bank’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p><i>Immediately, and in any case within 48 hours after learning of the incident or accident.</i></p> <p><i>ADIN and FNDS shall provide an incident and accident report within a period acceptable to the Bank.</i></p>	ADIN & MADER/FNDS
C	<p>CONTRACTORS MONTHLY REPORTS</p> <p>Contractors are required to provide monthly monitoring reports on the environmental, social, health and safety (ESHS) performance of subprojects to the Project Implementing Unit. If needed, such monthly reports would be submitted to the Bank by the Borrower upon request.</p>	<p><i>Monthly reporting throughout Project implementation</i></p>	Contractors
ESS1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE:</p> <p>As part of the overall project, the Borrower will create, and then maintain, an organizational structure with qualified staff and resources to support management of environmental and social risks and impacts including identification, assessment, monitoring and supervision. Specifically, the project through FNDS will recruit additional dedicated specialists at the PIU headquarters level: One (1) Senior Environmental Specialist; one (1) Social Development Specialist and one (1) suitably qualified SEA/GBV Specialist. In addition, the project will contract three (3) new Environmental Specialists one for each province (Cabo Delgado,</p>	<p><i>Before Commencement of Project Activities and within 30 days after project effectiveness.</i></p>	ADIN & MADER/FNDS

	<p>Niassa and Nampula) and three Social Specialists at the provincial level (C. Delgado, Niassa and Nampula).</p> <p>Implementing partner organization and third-party agencies will appoint or contract a qualified one environmental and one social focal point.</p>	<p><i>Before Commencement of Project Activities</i></p>	<p>Implementing partners and third-party organizations.</p>
1.2	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENT AND MANAGEMENT TOOLS</p> <p>The Project will undertake the preparation of a series of tools and instruments for mitigation of Environmental and Social risks and impacts, in a manner acceptable to the Bank. The following ESF instruments will be prepared, consulted upon and disclosed by the Borrower:</p> <ul style="list-style-type: none"> - Environmental and Social Management Framework (ESMF) including: Labour Management Procedures (LMP), Hazard Risk Assessment and Emergency Response Plan, Pest Management Plan (PMP); Regional Environment and Social Impact Assessment (R-ESIA), GBV (SEA/SH) Risk Assessment; COVID-19 protocol and Chance Findings Procedures (CFP) - Stakeholder Engagement Plan (SEP) - Social Assessment (SA) - Resettlement Policy Framework (RPF) - Security Risk Assessment and Management Plan (SRA&MP) <p>Prepare and submit to the Bank the ESIA, ESMPs, RAPs required for each subproject in accordance with the ESMF.</p>	<p><i>ESMF, SA, RPF and SRA&MP finalized, consulted upon and disclosed within 3 months of project effectiveness and before civil works activities.</i></p> <p><i>SEP to be prepared and disclosed by project appraisal</i></p> <p><i>Throughout Project implementation: Prior to bidding for the respective works for each subproject</i></p>	<p>MADER/FNDS</p>
1.3	<p>MANAGEMENT TOOLS AND INSTRUMENTS</p> <p>Prepare and submit for Bank non-objections the Terms of Reference for all technical assistance activities, consistent with and incorporating applicable ESF requirements, including the follow instruments:</p> <ol style="list-style-type: none"> 1. Environmental and Social Commitment Plan (ESCP) 	<p><i>Before commencement of any relevant project activities</i></p> <ol style="list-style-type: none"> 1. As early as possible and prior to appraisal 3. Within 2 months after World 	<p>MADER/FNDS</p>

	<p>2. Develop, adopt and disclose a Stakeholder Engagement Plan (SEP) satisfactory to the World Bank</p> <p>3. Consult on and disclose an Environmental and Social Framework (ESMF) which establishes procedures for screening E&S risks and impacts of sub-projects prior to implementation of sub-projects</p> <p>4. COVID-19 Protocol</p> <p>5. Waste Management Plan (ICWMP) as part of the ESMF</p> <p>6. Resettlement Policy Framework (RPF) and Resettlement Action Plans (RAPs)/ Abbreviated Resettlement Action Plans ARAPs (if needed).</p> <p>7. Labour Management Procedures (LMPs)</p> <p>8. Gender-Based Violence Risk Assessment (GBV) and Action</p> <p>9. Specific ESIA/ESMPs, including management and monitoring of mitigation measures in line with the screening and assessment guidelines in the ESMF.</p> <p>10. Construction ESMPs (CESMPs) and Environmental Codes of Practices (ECOPs) satisfactory to the World Bank.</p> <p>11. Develop, adopt, update and disclose a Stakeholder Engagement Plan (SEP)</p>	<p><i>Bank Board</i></p> <p><i>3. Within 2 months of Board approval and prior to commencement project activities</i></p> <p><i>4. Prior to commencement project</i></p> <p><i>5. Before commencement of any activity requiring these instruments as an outcome of screening process according to ESMF requirement</i></p> <p><i>6. RAPs/ARAPs to be prepared and implemented before start of sub-project where such instruments are required.</i></p> <p><i>7. Before commencement of any relevant project activities</i></p> <p><i>8. Within 2 months of Board approval</i></p> <p><i>9. Before commencement of any relevant project activities</i></p> <p><i>10. Before commencement of any relevant project activities</i></p> <p><i>11. Already prepared. To be updated throughout project implementation</i></p>	
1.4	<p>MANAGEMENT OF CONTRACTORS:</p> <p>Develop and implement procedures for managing contractors and subcontractors.</p> <ul style="list-style-type: none"> - Contractor's capacity to comply with ESHS requirements will be assessed and verified. - Environmental and social requirements (including ESMPs and any other E&S plans, Environmental Codes of Practices (ECOPs), etc., which will be included in the 	<p><i>Prior to the preparation of procurement documents.</i></p> <p><i>Maintain procedures throughout Project implementation</i></p>	MADER/FNDS

	<p>procurement and contracting process including bidding documents, for potential civil works.</p> <ul style="list-style-type: none"> - Supervision arrangements including reporting and effective noncompliance remedies will be reflected in contractual requirements and enforced - Procedures to provide for proper interface between the Project GRM and Contractor GRM 		
1.4	<p>PERMITS, CONSENTS AND AUTHORIZATIONS: Obtain or assist in obtaining and verifying that contractor has obtained, as appropriate, the permits, consents and authorizations that are applicable to the Project from relevant national authorities such as:</p> <ul style="list-style-type: none"> - Environmental licenses - Water use and effluent discharging licenses - Land use rights (DUATs) - Construction licenses - Bush clearing licenses, etc. - Any other licenses as and when required <p>Comply or cause to comply, as appropriate, with the conditions established in these permits, consents and authorizations throughout Project implementation.</p>	<i>Prior to initiating activities that require permits, consents and authorizations. Throughout Project implementation.</i>	MADER/FNDS
1.5	<p>THIRD PARTY MONITORING: A service provider will be engaged to provide independent review of project implementation and verification of project results, including adherence to all aspects of the Project Operations Manual and the ESCP, SEP and all ESHS instruments.</p>	<i>Mid-term review of the project</i>	MADER/FNDS
ESS2: WORKING AND LABOR CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES: Develop and implement Labour Management Procedures (LMP) for all Project workers, namely direct project workers, contracted workers and community workers, aligned with National Labour Legislation and ESS2.</p> <p>The LMP will address all issues identified in ESS2, including the applicable requirements regarding non-discrimination and equal opportunity. The LMP will also include a grievance</p>	<i>As part of the ESMF. Develop within 3 months after Board approval. Maintained throughout Project implementation.</i>	MADER/FNDS

	redress mechanism (GRM) covering all Project workers throughout project implementation.		
2.2	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES: Develop and implement occupational, health and safety (OHS) measures, in line with National legislation and ESS2, as part of the ESMF and LMP and Emergency Response Plan (ERP).</p> <p>The ESMF to be prepared for the project will include OHS generic measures, including a COVID -19 protocol to ensure that all project activities minimize the spread of infections.</p>	<p><i>Before commencement of project activities during development of ESMF – 3 months after board approval. Maintained throughout Project implementation</i></p>	MADER/FNDS
ESS3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT: An ESMF to be prepared will include a Pest Management Plan (PMP) in compliance with ESS3 requirements. Resource efficiency, pollution prevention and management measures will be covered under the ESMF.</p>	<p><i>Before commencement of project activities during development of ESMF – within the first 3 months of project effectiveness. Maintained throughout Project implementation.</i></p>	MADER/FNDS
ESS4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>TRAFFIC AND ROAD SAFETY: Measures and actions to address traffic and road safety risks will be included in the ESMF and in the specific ESMP to be prepared subsequently.</p> <p>Procedures to address traffic and road safety risks that include emergence communication in case of accident and incidents will be prepared and applied to all services provides involved in project implementation</p>	<p><i>Prior to project activities. Maintained throughout Project implementation</i></p>	MADER/FNDS
4.2	<p>COMMUNITY HEALTH AND SAFETY: A Code of Conduct on SEA/GBV will be prepared and applied to all workers involved in project implementation.</p> <p>The ESMF to be prepared for the project will include OHS measures, including a COVID -19 protocol will to ensure that all project activities minimize the spread of infections.</p>	<p><i>Prior to project activities. Maintained throughout Project implementation</i></p>	MADER/FNDS

4.3	<p>GBV AND SEA RISKS: A Sexual Health and Abuse/Gender Based Violence (SEA/GBV) Assessment and Action Plan will be produced. It will be implemented across all activities.</p> <p>An suitably qualified SEA/GBV Specialist will be recruited to support the Project team</p>	<p><i>After World Bank Board approval prior to project activities. Maintained throughout Project implementation.</i></p> <p><i>Before Commencement of Project Activities and within 30 days after project effectiveness.</i></p>	MADER/FNDS
4.4	<p>EMERGENCY RESPONSE MEASURES: Measures will be defined in the ESMF through ERP and disseminated as part of the SEP. The Measures will be updated and detailed with site specificity in subsequent ESMPs</p>	<p><i>Prior to project activities. Maintained throughout Project implementation.</i></p>	MADER/FNDS
4.5	<p>TRAINING FOR THE COMMUNITY: The Project will conduct trainings for the community designed to raise awareness of environmental and social risks and impacts and mitigation measures. This will include but not be limited to the following:</p> <ul style="list-style-type: none"> - Covid-19 awareness and prevention - GBV/SEA awareness and prevention - Community grievance redress mechanism as described in the SEP/ ESMF - Road Safety Awareness - Influx management measures - Emergency procedures - Communicable diseases/HIV-AIDS/STI/awareness and prevention 	<p><i>Prior to project activities, with regular refresher training. Maintained throughout Project implementation.</i></p>	MADER/FNDS
ESS5: LAND ACQUISITION, RESTRICTION ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	<p>LAND ACQUISITION AND RESETTLEMENT: A Resettlement Policy Framework (RPF) will be developed to provide guidance on any land acquisition requirements under the project.</p> <p>Resettlement Action Plans (RAPs) will be prepared and implemented prior to any civil works.</p> <p>A third party entity will be hired to conduct RAP completion audits and present reports.</p>	<p><i>RPF finalized, consulted upon and disclosed within 3 months of project effectiveness.</i></p> <p><i>Prior to civil works</i></p> <p><i>After RAP implementation</i></p>	MADER/FNDS

ESS6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	<p>BIODIVERSITY RISKS AND IMPACTS: The ESMF will specifically include criteria and procedures to ensure that sub-project investments are designed and implemented in ways that avoid damage to protected areas or critical habitats.</p> <p>Biodiversity Management Plans shall be prepared as part of the site specific ESMPs.</p>	<p><i>After World Bank Board Approval (within the first 3 months of project effectiveness). Prior to commencing project activities</i></p>	MADER/FNDS
ESS7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
This standard is not relevant currently as there are no distinct social and cultural groups have been identified in accordance with ESS7 and in the national context in the project area.			
ESS 8: CULTURAL HERITAGE			
8.1	<p>CHANCE FINDS: Include the chance finds procedure described in the Project ESMF.</p>	<p><i>Prior to commencing project activities and maintained throughout Project implementation.</i></p>	MADER/FNDS
ESS9: FINANCIAL INTERMEDIARIES			
There are no actions under the Project related to Financial Intermediaries, therefore there are no mitigation measures to be undertaken under ESS9.			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>SEP IMPLEMENTATION AND GRIEVANCE REDRESS MECHANISM: Prepare, consult with affected and interested parties, adopt and disclose Stakeholder Engagement Plan (SEP). The SEP will guide stakeholder engagement during preparation of the environment and social risk management instruments and other studies and will be regularly updated to reflect new stakeholders identified and appropriate ways to consult them. SEP will also guide stakeholder engagement throughout project implementation.</p> <p>The SEP will be comprehensively updated within the first 3 months of project effectiveness.</p>	<p><i>SEP will be prepared and disclosed before project appraisal and it will be implemented on an ongoing basis throughout the project</i></p>	ADIN & MADER/FNDS
10.2	<p>GRIEVANCE MECHANISM ARRANGEMENTS:</p>	<p><i>By project appraisal</i></p>	ADIN & MADER/FNDS

	<p>The SEP will include the design of a Grievance Redress Mechanism.</p> <p>The Grievance Redress Mechanism will provide project-affected parties (including IDPs and host communities) with accessible and inclusive means to raise issues and grievances in accordance with ESS10, and in a manner acceptable to the Bank.</p> <p>A GRM for Labour will be prepared under LMPs and will be implemented by the Project and Contractors</p>	<p><i>GRM will be operational within the first 3 months of project effectiveness.</i></p> <p><i>Within 3 months of effectiveness (implementation by Contractors during civil works.</i></p>	
	<p>Capacity Building</p> <p>All capacity building activities and trainings will be further detailed in the ESMF, trainings may be required in:</p> <ol style="list-style-type: none"> 1. Training in ESF (Environmental and Social Standards) 2. Stakeholder engagement 3. Environmental and Social screening 4. Occupational and Community Health and Safety 5. Emergency preparedness and response 6. Gender-Based Violence Risk Mitigation 7. GRM 8. Monitoring and reporting 9. Labour management procedures 10. Covid-19 mitigation 11. RAP preparation and implementation. 12. Occupational health and safety, 13. Response arrangements to emergency situations. 	<p><i>Before commencement of relevant activities and throughout Project implementation.</i></p>	<p>ADIN & MADER/FNDS</p>